

AP: _____ UCC: _____

Know Your Client (CKYC) + KRA Form
Application Form (For Individuals Only)

Please fill this form in English & in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also



MANDATORY
(First Holder)

RRS SHARES & STOCK BROKERS PVT LTD

Application Number: _____

Please tick by click of a BOX

Application Type* ☐ NEW KYC ☐ Modification KYC

CKYC Number: _____

KYC Mode*: Please Tick by Click on Box

☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Online KYC ☐ Offline KYC ☐ Digilocker

1. IDENTITY DETAILS (Please refer guidelines overleaf)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____

Maiden Name* (if any) _____

Father / Spouse Name* _____

Mothers Name _____

Date of Birth* _____

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other _____

Residential Status* ☐ Resident Individual ☐ Non Resident Indian

Please tick (✓) ☐ Foreign National ☐ Person of Indian Origin*

(Passport mandatory for NRIs and Foreign Nationals.

PIO selection is only for CKYC and not for KRA KYC.

Select NRI or Foreign National based on Nationality of the Individual)

(1)
✍

Recent passport size
Applicant Photo

Cross Signature across
photograph

Proof of Identity (POI) submitted for PAN exempted cases (please tick)

- ☐ A - Aadhaar Card XXXX XXXX _____
- ☐ B - Passport Number _____ (Expiry Date) _____
- ☐ C - Voter ID Card _____ (Expiry Date) _____
- ☐ D - Driving Licence _____ (Expiry Date) _____
- ☐ E - NREGA Job Card _____
- ☐ F - National Population Register Letter _____
- ☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details* (Please refer guidelines overleaf)

A. Correspondence/Local Address*

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant Sign

B. Permanent residence address of applicant, if different from above A/Overseas Address* (Mandatory for NRI applicant)

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified**Proof of Address*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A - Aadhaar Card XXXX XXXX _____

☐ B - Passport Number _____ (Expiry Date) _____

☐ C - Voter ID Card _____

☐ D - Driving Licence _____ (Expiry Date) _____

☐ E - NREGA Job Card _____

☐ F - National Population Register Letter _____

☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details* (in CAPITAL)

Email ID* _____

Mobile Number* _____

Tel. (Off.) _____ Tel. (Res.) _____

4. Applicant Declaration

- I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we aware that I/we may be held liable for it.
- I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/email address.
- I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable with KRA and other intermediaries with whom I have a business relationship for KYC purposes only.

Date: _____ (DD-MM-YYYY)

Place: _____

Applicant e-SIGN

Applicant Wet-signature

(2)
**5. For Office Use Only**

In Person Verification (IPV) carried out by*

IPV Date _____

Emp. Name: **ABBAS R BHARMAL**Emp. Code: **EMP19**Emp. Designation: **PRINCIPAL OFFICER MUMBAI**☐ Self Certified document copies received (OVD)☐ True Copies of documents received (Attested)

Pos Code: 1401130130

RRS SHARES & STOCK BROKERS PVT LTD**CODE:****IPV Stamp & Signature Required**

Employee Signature and Stamp

RRS SHARES & STOCK BROKERS PVT LTD

Supplementary KYC Information &
FATCA-CRS Declaration - Individuals

First Holder

(Please consult your professional tax advisor for further guidance on your tax residency FATCA/CRS Guidance)

PAN Client Code Name Gender M F OType of address given at KYC KRA Residential Residential & Business Business Place of Birth Country of Birth Nationality

Gross Annual Income Details in INR	<input type="text"/> Below 1 Lakh	<input type="text"/> 5-10 Lakh	<input type="text"/> 25 Lakh - 1 Crore	Networth in INR in Lakhs Rs.: <input type="text"/> Net worth as on <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	<input type="text"/> 1-5 Lakh	<input type="text"/> 10-25 Lakh	<input type="text"/> > 1 Crore	

Occupation Details	<input type="text"/> Business	<input type="text"/> Professional	<input type="text"/> Public Sector	<input type="text"/> Housewife	<input type="text"/> Retired
	<input type="text"/> Private Sector	<input type="text"/> Government Service	<input type="text"/> Agriculturist	<input type="text"/> Student	<input type="text"/> Forex Dealer
<input type="text"/> Others (Please specify)					

Politically Exposed Person (PEP) Yes Related to PEP Not Applicable Are you a tax resident of any country other than India? Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country*	Tax identification Number#	Identification Type (TIN or Other, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

* To also include USA, where the individual is a citizen / green card holder of The USA

In case Tax identification Number is not available, kindly provide its functional equivalent \$

Certification

I/We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Signature

(3) DATE PLACE

For investor convenience, RRS SHARES & STOCK BROKERS PVT LTD is collecting this mandatory information for updating across all account in Equity, Commodity etc...

Please submit the form duly filled, signed, for all the holders, separately and submit it to our office at: RRS SHARES & STOCK BROKERS PVT LTD, 24/B, Raja Bahadur Mansion-II, 114, Raja Bahadur Compound, 114, Ambalal Doshi Marg, Fort, Mumbai - 400 001.

FATCA & CRS Terms & Conditions

Details under FATCA & CRS. The Central Board of Direct Taxes has notified Rulers 114F to 114H, as part of the Income Tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the propose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you. Please ensure you advise us promptly, i.e. within 30 days.

It is important that you respond to our request, even if you believe you have already supplied any previously requested information.